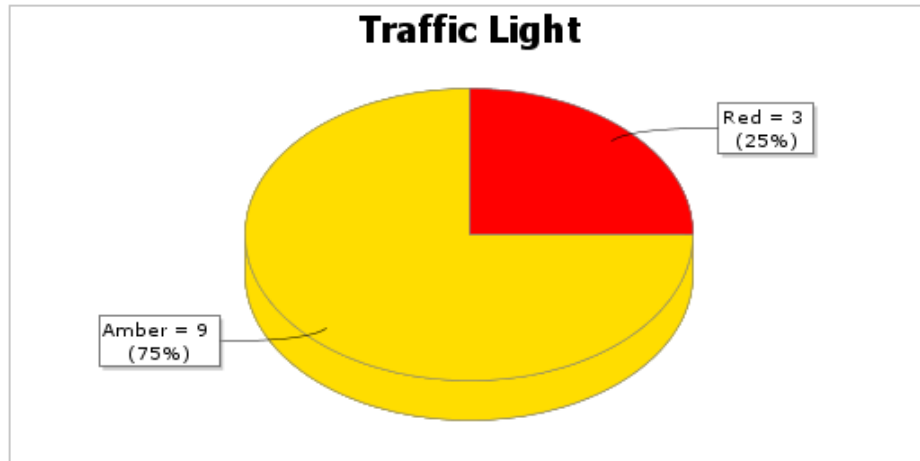
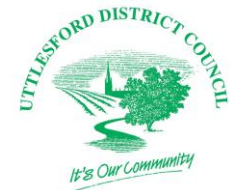









Corporate & Strategic Risk Register 2014-15 - Quarter 2







Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Latest Note	Mitigating Actions	Managed By
14-CR 01 Insufficient progress against savings	The council does not make sufficient progress against savings targets identified in the MTFS to achieve the necessary savings.	3	1	2	2	4		3	1	Savings targets agreed in the MTFS will not be achieved in year. However an in-year surplus is predicted and plans are underway for a savings action plan. Recent government statements on austerity	A Corporate Team was established in 2010. Savings achieved to date have been approx £1 million per annum	Adrian Webb





Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Latest Note	Mitigating Actions	Managed By
										indicate prolonged pressure on council finances.		
14-CR 02 External factors impact negatively on Council's finances	External factors, such as the reforms to local government finance, negatively impact on Council's finances	2	3	2	3	6		2	3	The current position remains the same. The likelihood of this happening in the next two years will increase as will the impact. Recent government statements on austerity indicate prolonged pressure on council finances.	Work with local government and other partners to share the risks and benefits of reform	Adrian Webb
14-CR 03 LSP decisions do not inform Council policy	Decisions made by the LSP do not inform Council policy	2	2	3	3	9		2	2	Increasing emphasis is being placed on the Council's role in health and wellbeing and this is currently being met through the LSP working group. The appropriate structural links to mainstream corporate policy making do not exist. Solutions to	Optimise the Localism agenda and ensure that the Council retains its commitment to supporting the voluntary sector where this provides demonstrable value for money. Ensure continued engagement with partners and the community through channels such	John Mitchell

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Latest Note	Mitigating Actions	Managed By
										this problem have been placed before Cabinet members.	as Citizens Panel, Community Forums and Tenant Forum. Continue to review the working of the LSP to ensure it meets the needs of the council, its partners and the community.	
14-CR 04 Local Plan	Failure to meet objectively assessed housing need and identify suitable deliverable sites	3	2	3	2	6		3	2	Hearing statements submitted. The corporate risk register is a live document and the risk description has been changed in this quarterly review to reflect the current stage in the local plan preparation process. Examination hearing opens 17 November.	Present evidence to the examination hearing. Commitment to build local authority housing	Roger Harborough

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Latest Note	Mitigating Actions	Managed By
14-CR 05 External contracts	Contracts with third parties do not benefit the Council & Community financially	3	3	3	3	9		3	1	Alterations to one significant contract in negotiation. Other major contracts in procurement process.	Constant monitoring of contracts to ensure adequate contingency arrangements are in place	Roger Harborough
14-CR 06 Potential increase in environmental crime	Potential for more cases of environmental crime leading to increased pressures on enforcement and other service areas and possible complaints	2	2	2	2	4		2	2	Abolition of tax discs could result in increase in the number of untaxed vehicles on the highway and decrease in number of referrals.	Training for Enforcement officers and closer working with PCSO's leading to an increase in the number of fixed penalty notices issued	Michael Perry
14-CR 07 Failure to embed sound Equality & Diversity, H&S & Corporate Governance principles	Failure to embed sound equality & diversity, health & safety and corporate governance principles throughout the authority, which would make it difficult to then promote these ideals to the community	3	1	3	1	3		3	1	Informal peer review has given valuable pointers to "achieve" the equality standard. High priority continues to be given to health and safety. Corporate governance regularly monitored by P&A committee	Necessary information available to all staff and regular training given	John Mitchell
14-CR 08 Little money available for Highways improvements	Highways Panel unable to deliver expectations owing to ECC financial constraints	2	3	2	3	6		2	3	Based on estimated costs the Panel's budget envelope for 2014-15 has	Targeted improvements in district due to local member involvement in Highways	Roger Harborough

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Latest Note	Mitigating Actions	Managed By
										been fully allocated. Actual costs will be monitored to determine if further allocations could be made.	Panel/Locality Board	
14-CR 09 Inability to implement the economic strategy	Inability to implement the economic strategy which could lead to a failure to support existing businesses and attract new investment	3	1	3	2	6		3	1	Demanding workload set in new Action Plan. Additional resource not yet in post.	Implement the economic strategy in conjunction with local business representatives, West Essex partners and allocate budget to support this work	Roger Harborough
14-CR 10 Adverse impact from reform of council tax benefits	The reform of council tax benefits will adversely impact some people currently in receipt of benefits	3	2	2	2	4		2	2	The LCTS scheme has been amended for 2014/15 and requires non-vulnerable working age people on low incomes to pay more	Resource and implement the Council's Local Council Tax Support Policy approved 2012	Adrian Webb

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Latest Note	Mitigating Actions	Managed By
										council tax. The Council has increased its funding for exceptional hardship support.		
14-SR 01 Disruption of Council business	Disruption of council business caused by: loss of building, widespread staff absence, extreme weather conditions	4	2	4	2	8		3	2	Department 'champions' will be requested to attend a one day training & exercise workshop in December for BC designed to enable plans to be produced for testing from early next year.	Ensure that emergency plans are in place to provide frontline services. Maintain regular engagement in emergency planning activities, close liaison with county council and regular communication with residents. Ensure relevant HR policies are in place and understood	Michael Perry
14-SR 02 Major emergency at the airport	Major emergency at the airport e.g. due to plane crash, terrorism etc.	2	2	2	3	6		2	1	Due to unrest globally, the likelihood has increased. Robust plans are in place, good relations remain between Stansted Airport Ltd and UDC	Ensure that emergency plans are in place and that there is regular liaison with airport operator and engagement in emergency planning activities	Michael Perry

Risk Status	
	Alert
	High Risk
	Warning
	OK